

S/N Unknown

PATENT

#2  
86 Nov 01  
P. Talbot

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: David M. Horne  
Serial No.: Unknown  
Filed: Herewith  
Title: METHOD AND APPARATUS FOR TRANSMISSION OF UPSTREAM DATA  
IN AN OPTICAL NETWORK

Examiner: Unknown  
Group Art Unit: Unknown  
Docket: 884.601US1

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

JC857 U.S. PTO  
09/966839  
09/28/01

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant further request that a copy of the 1449 form, initialled by the Examiner to indicate that all listed citations have been considered, be returned with the next official communication.

Please any additional fees or credit any overpayment to Account No. 19-0743.

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

DAVID M. HORNE

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 371-2159

Date

9-28-01

By

Dana B. LeMoine

Dana B. LeMoine  
Reg. No. 40,062

"Express Mail" mailing label number: EL873859809US

Date of Deposit: September 28, 2001

This paper or fee is being deposited on the date indicated above with the United States Postal Service pursuant to 37 CFR 1.10, and is addressed to the Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.





Form 1449*	Atty. Docket No.: 884.601US1	Serial No. Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Applicant: David M. Horne	
	Filing Date: Herewith	Group: Unknown

## U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
						If Appropriate
	6,163,392	12/19/2000	Condict, K.A., et al.	359	124	05/23/97
	6,278,817, B1	08/21/2001	Dong, L.	385	37	08/31/99
	6,278,819, B1	08/21/2001	Reddy, K.P.	385	37	10/12/99

## FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

**Examiner Initial	
	Chae, C., et al., "A PON System Suitable for Internetworking Optical Network Units Using a Fiber Bragg Grating on the Feeder Fiber", <u>IEEE Photonics Technology Letters</u> , Vol. 11, 1686-1688, (Dec. 1999)
	Saleh, A., et al., "Reflective Single-Mode Fiber-Optic Passive Star Couplers", <u>Journal of Lightwave Technology</u> , Vol. 6, 392-398, (March 1988)

Examiner

Date Considered

\*Substitute Disclosure Statement Form (PTO-1449)

\*\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.